



PATENT  
P-5430P1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): AMISS et al.  
 SERIAL NO.: 10/776,643 GROUP:  
 FILING DATE: 02/12/2004 EXAMINER:  
 FOR: BINDING PROTEINS AS BIOSENSORS

Mail Stop Amendments  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
 (SUBMISSION AFTER FILING OF AN APPLICATION  
 BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE  
 OR CONCURRENTLY WITH A RULE 53(d) CPA APPLICATION  
 OR WITH A RULE 1.114 RCE APPLICATION)

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO/SB/08A(s), attached hereto.

II. COPIES (check at least one box)

- a. ☒ Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- b. ☐ Some or all of the documents listed on the PTO/SB/08A are not enclosed because they were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.D./

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

- a.
- ☒
- DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

- b.
- ☐
- DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

- c.
- ☐
- ENGLISH LANGUAGE SEARCH REPORT**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

- d.
- ☐
- OTHER**

The following additional information is provided for the Examiner's consideration.

FEES

- IV. ☒ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b):  
(check one box)

- a. ☐ within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's and CPA's).
- b. ☐ within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or statement is required.
- c. ☐ concurrently with the filing of a Continued Prosecution Application under 37 C.F.R. § 1.53(d) or concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.
- d. ☒ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required.

In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge Deposit Account No. 02-1666 in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

- V. ☐ THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)).

- a. ☐ No statement; therefore, a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
- or
- b. ☐ See the statement below. No fee is required.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- a. ☐ each item of information contained in the IDS was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. ☐ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.
- c. ☐ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

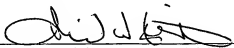
VII. PAYMENT OF FEES (check one box)

- ☐ A check in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p) is enclosed for the above-identified fee.
- ☐ Please charge Deposit Account No. 02-1666 in the amount required by 37 C.F.R. § 1.17(p) for the above-indicated fee. A triplicate copy of this paper is attached.
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If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-1666.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-1666 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

By   
David W. Highet, Reg. No. 30,265


Date: February 16, 2005

Becton, Dickinson and Company  
1 Becton Drive, MC 089  
Franklin Lakes, New Jersey 07417  
(201) 847-6659

Enclosures:

- ☒ PTO/SB/08A
- ☒ Documents
- ☐ Foreign Search Report
- ☐ Fee
- ☐ Other:

Y:BDT Legal/P-5430P1

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, MAIL STOP AMENDMENT, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON: FEBRUARY <u>16</u> , 2005	
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PTO/SB/08A (04-03)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**Complete if Known**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 6

Application Number	10/776,643
Filing Date	12-Feb-2004
First Named Inventor	AMISS et al.
Art Unit	
Examiner Name	/Anand Desai/
Attorney Docket Number	P-5430P1

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5341805	08-30-1994	STAVRIDIS et al.	
		US- 5342789	08-30-1994	CHICK et al.	
		US- 5470714	11-28-1995	KLEINFELD.	
		US- 5517313	05-14-1996	COLVIN, Jr.	
		US- 5910661	06-08-1999	COLVIN, Jr.	
		US- 6197534	03-06-2001	LAKOWICZ et al.	
		US- 6277627	08-21-2001	HELLINGA.	
		US- 6521446	02-18-2003	HELLINGA	
		US- 2002004217A1	01-10-2002	HELLINGA	
		US- 2003130167A1	07-10-2003	PITNER et al.	
		US- 2003134346A1	07-17-2003	AMISS et al.	
		US- 2003153026A1	08-14-2003	ALARCON et al.	
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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>2</sup> Number "Kind Code" (if known)			
		WO0003727A1	01-27-2000	LAKOWICZ ET AL.	
		WO9934212A1	07-08-1999	HELLINGA	
		WO9423284A1	10-13-1994	STAVRIDIS ET AL.	
		WO9109310A1	06-27-1991	KLEINFELD	

Examiner Signature	/Anand Desai/	Date Considered	08/17/2008
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\*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2** of **6**

## Complete if Known

Application Number	10/776,643
Filing Date	12-Feb-2004
First Named Inventor	AMISS et al.
Art Unit	
Examiner Name	
Attorney Docket Number	P-5430P1

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BJORKMAN et al., Multiple Open Forms of Ribose-binding Protein Trace the Path of its Conformational Change. J. Mol. Biol. (1987) 279:651-64.	
		BOOS et al., Transport Properties of the Galactose-Binding Protein of Escherichia Coli: Occurrence of Two Conformational States. J. Biol. Chem. (1971) 246:621-28.	
		BOOS et al., Transport Properties of the Galactose-Binding Protein of Escherichia Coli: Substrate-Induced Conformational Change. J. Biol. Chem. (1972) 247:917-24.	
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		CAREAGA et al., Structure and Dynamics of Escherichia-Coli Chemosensory Receptors Engineered Sulfhydryl Studies. Biophysical Journal (1992) 62:209-19.	
		CAREAGA et al., Thermal Motions of Surface Alpha-Helices in the D-Galactose Chemosensory Receptor. Detection by Disulfide Trapping. J. Mol. Biol. (1992) 226:1219-35.	
		CLARMONT D. J., Biosensors: clinical requirements and scientific promise. J. Med. Eng. Tech. (1987) 2:51-56.	
		DRUECKHAMMER D. G., New Approaches to Fluorescence Based Glucose Sensors, Database FEDRIP on Dialog, N111S, 00313296, Identifying No. 1R21DK55234-01, Abstract (1998).	

Examiner Signature	/Anand Desai/	Date Considered	08/17/2008
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Sheet 3 of 6

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Attorney Docket Number	P-5430P1

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		GE et al., Genetically Engineered Binding Proteins as Biosensors for Fermentation and Cell Culture. Biotech. Eng. (2003) 84:723.	
		GILARDI et al., Engineering the Maltose Binding Protein for Reagentless Fluorescence Sensing. Anal. Chem. (1994) 66:3840-47.	
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		KUNKEL, T. Oligonucleotide-Directed Mutagenesis without Phenotypic Selection. In Current Protocol. In Molecular Biology (Ausubel, F., Brent, R., Kingston, R. E., Moore, D. D., Seidman, J. G., Smith, J. A., Struhl, K. eds.) (1991) 1:Section 8.1.1, Wiley and Sons, New York.	
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		LIANG et al., Anatomy of Protein Pockets and Cavities: Measurement of Binding Site Geometry and Implications for Ligand Design. Protein Science (1998) 7:1884-97.	
		LUCK et al., 19F NMR Studies of the D-Galactose Chemosensory Receptor. 1. Sugar Binding Yields a Global Structural Change. Biochem. (1991) 30:4248-56.	
		MARVIN et al., Engineering biosensors by introducing fluorescent allosteric signal transducers: Construction of a novel glucose sensor. J. Am. Chem. Soc., (1998) 120:7-11.	
		MARVIN et al., Manipulation of Ligand Binding Affinity by Exploitation of Conformational Coupling. Nature Structural Biology (2001) 8:795-98.	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 6

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		MARVIN et al., The rational design of allosteric interactions in a monomeric protein and its applications to the construction of biosensors, Proc. Natl. Acad. Sci. (1997) 94:4366-71.	
		NEU et al., The release of enzymes from Escherichia coli by osmotic shock and during the formation of spheroplasts. Journal of Biological Chemistry, (1965) 240:3685-91.	
		PICKUP J., Developing glucose sensors for n vivo use, Trends in Biotech. (1993) 11:285-91.	
		QUIOCHO et al., Atomic Structure and Specificity of Bacterial Periplasmic Receptors for Active Transport and Chemotaxis: Variation of Common Themes. Molecular Microbiology (1996) 20:17-25.	
		RUSSELL et al., A Fluorescent Glucose Assay Using Poly-L-Lysine And Calcium Alginate Microencapsulated Tris-Succinyl-Concanavalin A And Fitc-Dextran Proc. 20th Ann. International Conf. IEEE-EMBS, (1998) 20:2858.	
		SALINS et al., A Novel Reagentless Sensing System for Measuring Glucose Based on the Galactose/Glucose-Binding Protein. Analytical Biochemistry 2001, 294:19-26.	
		SCHOLLE et al., Sequence of the mglB gene from Escherichia coli K12: Comparison of wild-type and mutant galactose chemoreceptors. Mol. Gen. Genet. (1987) 247-53.	
		SCHULTZ et al., Affinity sensor: A new technique for developing implantable sensors for glucose and other metabolites. Diabetes Care (1982) 5:245-53.	
		SCHULTZ et al., Affinity sensors for individual metabolites. Biotechnology and Bioengineering Symp. 9 (1979) 9:65-71.	
		SHILTON et al., Conformational Changes of Three Periplasmic Receptors for Bacterial Chemotaxis and Transport: The Maltose-, Glucose/Galactose- and Ribose-binding Proteins. J. Mol. Biol. (1996) 265:350-63.	

Examiner Signature	/Anand Desai/	Date Considered	08/17/2008
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Sheet 5 of 6

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		TOLOSA et al., (1999). Glucose Sensor for Low-Cost Lifetime-Based Sensing Using a Genetically Engineered Protein. Anal. Biochem. (1999) 267:114.	
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		TURCATTI et al., Probing the Structure and Function of the Tachykinin Neurokinin-2 Receptor Through Biosynthetic Incorporation of Fluorescent Amino Acids at Specific Sites. J. Biol. Chem. (1998) 271:9991-98.	
		VYAS et al., Crystallographic Analysis of the Epimeric and Anomeric Specificity of the Periplasmic Transport Chemoreceptor Protein Receptor for D-Glucose and D-Galactose, Biochemistry (1994) 33:4762-68.	
		WANG et al., Fine-Tuning the Specificity of the Periplasmic Phosphate-Transport Receptor - Site-Directed Mutagenesis, Ligand-Binding, and Crystallographic Studies. J. Biol. Chem. (1994) 269:25091-94.	
		WILKINS et al., Glucose monitoring: state of the art and future possibilities, Med. Eng. Phys. (1996) 18(4):273-88.	
		ZHOU et al., Periplasmic Binding Protein Based Biosensors. 1. Preliminary Study of Maltose Binding Protein as Sensing Element for Maltose Biosensors; Bioelectronics (1991) 6:445-450.	
		ZUKIN et al., Effect of an Induced Conformational Change on the Physical Properties of Two Chemotactic Receptor Molecules. Biochemistry (1979) 18:5599-605.	

Examiner Signature	/Anand Desai/	Date Considered	08/17/2008
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Application Number 10/776,643

Filing Date 12-Feb-2004

First Named Inventor AMISS et al.

Art Unit

Examiner Name

Attorney Docket Number P-5430P1

Sheet 6 of 6

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ZUKIN et al., Properties of the galactose binding protein of salmonella typhimurium and Escherichia coli. Biochemistry (1977) 16:381-86.	
		ZUKIN et al., Use Of A Distant Reporter Group As Evidence For A Conformational Change In A Sensory Receptor. Proc. Natl. Acad. Sci. (1977) 74:1932-36.	
		ZUKIN, R. S.; Evidence for a Conformational Change in the Escherichia Coli Maltose Receptor by Excited-State Fluorescence Lifetime Data. Biochemistry (1979) 18:2139-45.	

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